



DA C\$ / (S) /

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
LU, Ying-Ta ) Conf. No.2433  
Appln. No.: 10/621,607 ) Washington, D.C.  
Filed: July 18, 2003 ) December 10, 2003  
For: LOCKING DEVICE OF CASING ) Atty.Docket: LU20

(1) WITHDRAWAL OF SMALL ENTITY STATUS  
AND

(2) REQUEST FOR SMALL ENTITY STATUS ERROR TO BE EXCUSED

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Finance Branch  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Sir:

The above-identified application is not entitled to small entity status.

In the present application, status as a small entity was established in good faith and fees as a small entity were paid in good faith. It has recently been discovered that such status as a small entity was established in error. Pursuant to 37 C.F.R. §1.28(c), it is requested that this error be excused as the present communication is in compliance with the separate submission and itemization requirements of paragraphs

(c)(1) and (c)(2) of 37 C.F.R. §1.28.

Adjustment date: 12/11/2003 SLUANG1  
07/21/2003 HTECKLU1 00000025 10621607  
01 FC:2001 -375.00 OP

12/11/2003 SLUANG1 00000004 10621607  
01 FC:1001 770.00 OP

Attached hereto is a form PTO-2038 authorizing the additional charges of \$375.00, which satisfies the deficiency payment requirement as per the following itemization.

The following itemization of the total deficiency payment is provided:


| Type of Fee | Current Fee<br>for non-<br>small entity | Small Entity<br>actually paid | Date of<br>request | Deficiency<br>owed amount |
|-------------|---|-------------------------------|--------------------|---------------------------|
| Filing fee  | \$ 770.00                               | \$ 375.00                     | 18 July 2003       | \$ 395.00                 |
| Total owed: |   |                               |                    | <u>\$ 395.00</u>          |

As all of the requirements of 37 C.F.R. §1.28(c) have been complied with, it is requested that this deficiency payment be accepted and that the errors in small entity status previously made be excused.

Respectfully submitted,

BROWDY AND NEIMARK, P.L.L.C.  
Attorneys for Applicant(s)

By

  
Sheridan Neimark  
Registration No. 20,520

SN:edg  
Telephone No.: (202) 628-5197  
Facsimile No.: (202) 737-3528  
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# Transaction List

Search by

☐ Accounting Date  Operator ID:   
(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number:

☐ Attny Docket No:

☐ Deposit Account No:



| Accounting Date | Operator ID | Seq. No. | Txn Src | Fee Code | St | Amount | Name/Number | Dep Acct |
|-----------------|-------------|----------|---------|----------|----|--------|-------------|----------|
| 07/21/2003      | HTECKLU1    | 49       | SALE    | 2001     | A  | 375.00 | 10621607    |          |



Adjustment Detail

Authorization Detail

